



Commissioner for Patents  
Washington, DC 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,467	08/17/2000	Ka-Ngo Leung	IB-1529

CONFIRMATION NO. 6329

Henry P Sartorio  
Lawrence Berkeley Nat'l Lab Patent Dept  
One Cyclotron Road MS 90-~~112~~ B104  
Berkeley, CA 94720

## FORMALITIES LETTER



\*OC000000005509799\*

Date Mailed: 03/10/2003

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/27/2003 UEDUVIJE 00000006 120690 09641467  
01 FC:2051 65.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$820** for a Large Entity

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/30/2003 DTESSEN1 00000069 120690 09641467  
01 FC:2001 375.00 CH



IB-1529

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ka-Ngo Leung  
Ser. No.: 09/641,467  
Filed: 08/17/2000  
For: Ion Beam Lithography System

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on

April 23, 2003  
Signed: *Henry P. Sartorio*  
HENRY P. SARTORIO

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents and Trademarks  
Washington, DC 20231

In response to the Notice to File Missing Parts dated 03/10/2003, copy attached, the Commissioner is authorized to charge **half** the indicated fees (\$410), and any required additional fees, to Deposit Account No. **12-0690**. Applicant claims small entity status, 37 CFR 1.27. (A duplicate of this letter is enclosed for fee processing.)

A declaration in compliance with 37 CFR 1.63 signed by the inventor, identifying the application by Serial Number and Filing Date, is submitted herewith.

Also enclosed is an assignment by the inventor to assignee, with recordation cover sheet (in duplicate, including authorization to charge the \$40 fee to the deposit account).

The assignee also claims small entity status, 37 CFR 1.27.

Respectfully submitted,

Dated: April 21, 2003

*Henry P. Sartorio*  
Henry P. Sartorio  
Attorney for Applicant  
Reg. No. 28,535